

Validation of Cumulative Overload Method based on Tests by the new Wave Run-up Simulator

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ABSTRACT

A new Wave Run-up Simulator has been designed, constructed, calibrated and used for testing of the seaward face of dikes. The upper part of dikes or levees often have a clay layer with a grass cover. The new device is able to test the strength of the grass cover under simulation of up-rushing waves for pre-defined storm conditions. The cumulative overload method has been developed to describe the strength of grass covers on the crest and landward side of dikes, for overtopping wave volumes. In essence there is not a lot of difference between the hydraulic load from an overtopping wave volume or from an up-rushing wave. Therefore the hypothesis has been evaluated that the cumulative overload method should also be applicable for up-rushing waves. Tests on a real dike have been used to validate this hypothesis. The main conclusions are that the new Wave Overtopping Simulator works really well, but that the results on testing till so far has not yet been sufficient for a full validation of the method. More research is required.

INTRODUCTION

To increase knowledge on the strength of dikes and its failure mechanisms, the Dutch government started a large research program “Research and Development of Flood Defence Assessment Tools (WTI2017)”. Within this program the strength of grass covers on the crest and the landward slopes of dikes have been tested in full scale and *in situ* with the Wave Overtopping Simulator (Van der Meer *et al.*, 2008, 2010) and Steendam *et al.*, 2014, 2012, 2011). From that research an erosion model or method was developed. This so called cumulative overload method for wave overtopping was first introduced in Van der Meer *et al.* (2010). The method was further developed during the continuous research on dike slopes in the Netherlands.

The hypothesis was that the cumulative overload method may also be used for erosion of grass during *wave run-up*. To validate the method for wave run-up, after a pilot test in 2012 with an adapted existing Wave Overtopping Simulator (Van der Meer *et al.*, 2012), a new Wave Run-up Simulator was developed, designed, constructed and calibrated in 2013/2014. The development and the design as well as the validation of the method for wave run-up is part of the present paper. With this

new developed Wave Run-up Simulator tests have been performed on an *in situ* dike in the south part of the Netherlands, from February to March 2014. The results of these tests will be used to validate the hypothesis described above.

HYDRAULIC SIMULATORS

Wave Overtopping Simulator. The Wave Overtopping Simulator has been designed and constructed in 2006 and has been used since then for destructive tests on dike crests and landward slopes of dikes or levees under loading of overtopping waves. The setup of the Overtopping Simulator on a dike or levee is given in Figure 1, where the simulator itself has been placed on the seaward slope and it releases the overtopping wave volume on the crest, which is then guided down the landward side of the dike. Water is pumped into a box and released now and then through a butterfly valve, simulating an overtopping wave volume. Electrical and hydraulic power packs enable pumping and opening and closing of the valve. A measuring cabin has been placed close to the test section. The Simulator is 4 m wide and has a maximum capacity of 22 m², or 5.5 m³ per m width. Released volumes in a certain time are according to theoretical distributions of overtopping wave volumes, depending on assumed wave conditions at the sea side and assumed crest freeboard.



Figure 1. Set-up of the Wave Overtopping Simulator close to a highway.

New Wave Run-up Simulator. The process of wave run-up was explored as well as a procedure for testing was developed, see Van der Meer *et al.* (2012). Then in 2012 a pilot test was performed on wave run-up simulation, but using the Wave Overtopping Simulator as an existing device. The Simulator was placed on a seaward berm and run-up levels were calibrated with released wave volumes and these were used for steering the process. In this way the largest run-up levels of a hypothetical storm and storm surge, which would reach the upper slope above the seaward berm, were simulated. Figure 2 gives the set-up of the pilot test and shows a wave run-up that even overtopped the crest, more than 3 m higher than the level of the Simulator.

An example on damage developed by simulating wave run-up is shown in Figure 3. The up-rushing waves meet the upper slope of the dike and "eat" into it.

The pilot test gave valuable information on how testing in future could be improved, but also how a real Wave Run-up Simulator should look like. A Wave Run-up Simulator should have a slender shape, different from the present Wave Overtopping Simulator, in order to release less water, but with a higher velocity. At the end of 2013 such a new device was designed, constructed and tested, see Figures 4 and 5. And before spring 2014 the first tests on the upper slope of the seaward part

of a sea dike was tested. The box had a cross-section at the lower part of 0.4 m by 2 m, giving a test section of 2 m wide, see Figure 5. The upper part had a cross-section of 0.8 m by 1.0 m and this modification was designed in order to have less wind forces on the Simulator. The cross-sectional area was the same over the full height of the Simulator in order not to have dissipation of energy during release of water. The overall height is more than 8 m.



Figure 2. The Wave Overtopping Simulator used in a pilot test on wave run-up simulation.

Figure 3. Damage caused by wave run-up simulation.



Figure 4. Wave Run-up Simulator seen from the side.



Figure 5. The Wave Run-up Simulator in action.

A new type of valve was designed to cope with the very high water pressures (more than 7 m water column). A drawer type valve mechanism was designed with two valves moving horizontally over girders. In this way leakage by high water pressures was diminished as higher pressures gave a higher closing pressure on the valves. This new Wave Run-up Simulator was calibrated against a 1:2.7 slope. The largest run-up was about 13.5 m along the slope, this is about 4.7 m measured vertically. Besides transitions from down slope to berm and berm to upper grassed slope, also a stair case was tested by wave run-up, which was completely destroyed.

THE CUMULATIVE OVERLOAD METHOD

The cumulative overload method for wave overtopping was first introduced in Van der Meer *et al.* (2010) and application into transitions was given in Steendam *et al.* (2012). The method was further developed during the continuous research on dike slopes in the Netherlands. It is given by:

$$D = \sum_{i=1}^N (\alpha_1 U_i^2 - \alpha_2 U_c^2) \quad \text{for } \alpha_1 U_i^2 > \alpha_2 U_c^2 \quad [\text{m}^2/\text{s}^2] \quad (1)$$

Where:

- D = cumulative overload or damage number $[\text{m}^2/\text{s}^2]$
- N = number of overtopping waves [-]
- i = number of the overtopping wave [-]
- U_i = a characteristic value of the front velocity of the overtopping wave [m/s]
- U_c = critical velocity of the grass slope (=strength) [m/s]
- α_1 = influence factor on the velocity U_i by transitions or obstacles [-]
- α_2 = influence factor on the critical velocity U_c by transitions or obstacles [-]

Important in this method is the *front velocity* of each overtopping wave, the strength of the grass cover that is given by a critical velocity, the influence factors on load as well as strength and finally a “damage number, D” or cumulative overload that should describe a certain state of the grass cover (initial damage, several open spots and failure). Note that the method has been developed for wave overtopping.

First damage numbers for initial damage, several open spots and for failure were developed by Van der Meer *et al.* (2010). These damage numbers were based on the flow velocities at the crest of a dike, as they were released by the Wave Overtopping Simulator. It was assumed that the velocities remained more or less constant along the landward slope of the dike, an assumption that was erroneously taken as most of the analysis had been concentrated on a gentle 1:5 landward slope.

New insights have shown that velocities along a fairly steep landward slope (up to slopes of 1:2.4 were tested) may increase by 50%. These velocities in Eq. 1 are used quadratic, which means that the effect of acceleration may become more than 100%. The conclusion is that the damage number D in Eq. 1 depends on the real velocity U_i at a location at the downward slope, including possible acceleration. The analysis in Van der Meer *et al.* (2010) was repeated, but now the actual velocities at the location of damage was calculated instead of the velocities at the crest of the dike.

Based on the re-analysis of the tests at the Vechtdike with the 1:5 landward slope, see Van der Meer *et al.* (2010), the critical velocity of the grass cover of the Vechtdike (landward side) is $U_c = 3.5$ m/s. This value can be considered as a minimum strength of a well maintained grass cover, as the dike itself was a sand dike without cover of clay or soil. Based on this analysis, including the acceleration of a down-rushing overtopping wave volume on a landward slope, the following thresholds, D, for damage were established:

Initial damage	$\Sigma(U^2 - U_c^2) = 1000 \text{ m}^2/\text{s}^2$
Several open spots	$\Sigma(U^2 - U_c^2) = 4000 \text{ m}^2/\text{s}^2$
Failure (early due to mole holes)	$\Sigma(U^2 - U_c^2) = 7000 \text{ m}^2/\text{s}^2$

By knowing these thresholds it became possible to analyse the results of all other locations that had been tested and to come up with a fair guess of critical velocities. Also the classification of critical velocities, as in Van der Meer *et al.* (2010), has been changed by new insights. Till now the grass cover was considered without making a difference in subsoil: clay, sand or other material. It appears quite strongly that clay as subsoil gives a stronger combination with a grass cover than just sand (as with the Vechtdike). The new insights led to the following classification with critical velocities for the various sites that were investigated.

Grass on clay

Delfzijl	$U_c = 8$ m/s	
Boonweg	$U_c = 8-9$ m/s	
St Philipsland	$U_c = 6$ m/s	grass with open spots
Kattendijke	$U_c = 8-9$ m/s	
Afsluitdijk	$U_c = 8$ m/s	
Nijmegen	$U_c = 6.5$ m/s	river dike
Millingen	$U_c = 7$ m/s	river dike

Grass on 100% sand

Vechtdijk	$U_c = 3.5$ m/s
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Bad or no maintenance with relatively poor soil

Tielrode, ruderal vegetation	$U_c = 0$ m/s
Tholen, inadequate maintenance	$U_c = 0$ m/s

Bare clay

Delfzijl, good quality erosion resistant bare clay	$U_c = 3-4$ m/s
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A good grass cover on a clay layer often leads to critical velocities around 8 m/s or even higher. In a few cases critical velocities between 6 and 7 m/s were found. The critical velocity is much lower for sand as under layer (3.5 m/s) and if the maintenance of the grass cover is bad or even lacking and the under layer material is poor (no or bad quality clay), then there is actually no significant strength against wave overtopping.

TESTS ON WAVE RUN-UP

Set-up of the tests. With wave run up, a flow velocity and water layer thickness must be simulated at a certain spot on the seaward slope and then the wave must run up to a certain wave run-up height (Van der Meer *et al.*, 2012). The wave run-up height for a storm condition usually is represented by the height which is reached by 2% of the incoming waves, $R_{u2\%}$. Then a Rayleigh distribution is assumed for the run-up levels. The tests were aimed at eroding a grass cover which started above a block or asphalt revetment, high on the seaward slope of the dike, see Figure 6.

Special point of interests were the transition from the asphalt or block revetment to the grass and from the grassed berm to the 1:5 upper slope, see Figure 6. During the tests pre-defined run-up distributions were simulated according to a Rayleigh distribution, see also Van der Meer *et al.* (2012). The assumed wave height was $H_s = 2$ m and the peak period $T_p = 5.7$ s, giving a wave steepness of 0.04. The storm duration was 6 hours. After each sub-test the imaginary water level was increased by 1 m. The first sub-test started with a water level 3 m below the almost horizontal berm ($x=3$ m) and the last sub-test had a water level at the berm ($x=0$ m). The wave run up simulator remained at the same level throughout each test.

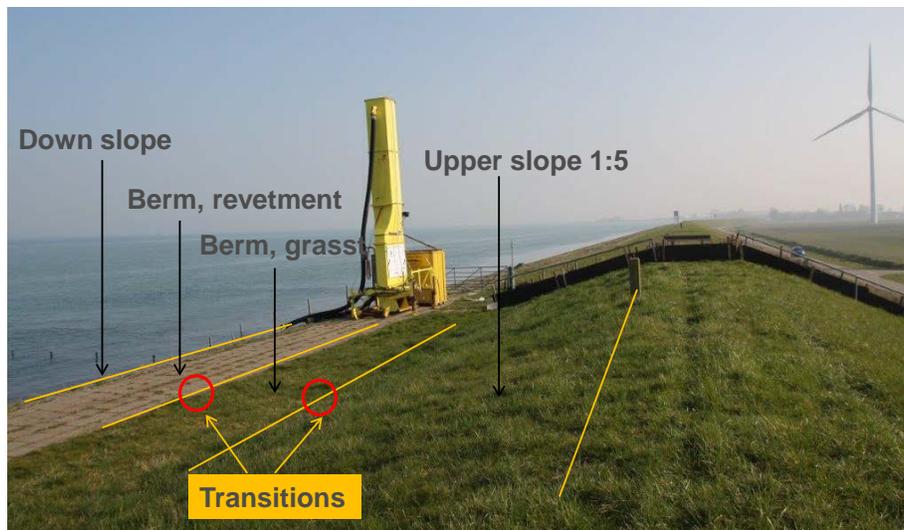


Figure 6. Test setup for the dike *in situ* with horizontal transition and a transition to the upper slope.

The wave run-up tests have been executed successfully. For each test section all relevant strength parameters such as root system of the grass, soil characteristics and thickness of the cover layer were investigated. The tests have been performed on a sea dike in the southwest part of the Netherlands. Five tests have been performed, three on a section as shown in Figure 6, one with handmade damage and one on a staircase.

Also a setup has been realised where hydraulic parameters have been measured (velocity, front velocity and flow thickness of the up-rushing wave). Also the water pressure at the slope surface was measured, indicating the generated pressure fluctuations in the up-rushing wave tongue and the subsequent run-down.

Test results. In all sections the resistance against erosion of the grass covered upper slope has been tested. Next to that, transitions between an asphalt or block revetment slope to the grass covered berm, and the transition from berm to the upper slope, have been subjected to loads by wave run up. As a first result it turned out that the grass revetment on the upper slope of the dike was very much resistant against waves rushing up. This was possibly partly due to the quite gentle upper slope of 1:5. Therefore it was decided to inflict some initial damage to this slope in order to monitor increase of damage due to wave run-up of the grass cover and clay layer underneath.

From wave overtopping tests it is known that objects at the landward part of dikes are weak spots if waves overtop the dike. But is this the same for objects on the seaward side of the dikes, which are not subjected to waves overtopping, but to waves rushing up the dike? At the test site stairs were present. These stairs, an object or obstacle in a flood defence, have been tested on resistance against wave run-up and the result is shown in Figure 7.



Figure 7. Testing a staircase with wave run-up simulation.

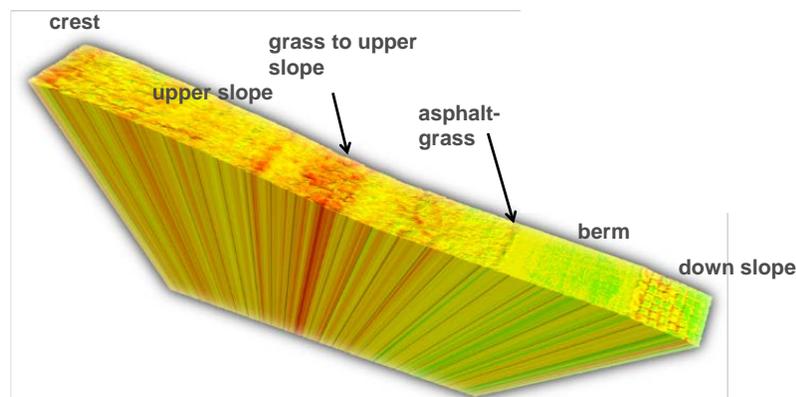


Figure 8. Laser scan of the tested slope of 2 m wide, after test 1. Erosion more than 5 cm is orange to red, no erosion is yellow.

A good way to describe the damage to the transitions in the first three tests is to give the measurements of the erosion by laser. Figure 8 gives the measured erosion after test 1. Erosion more than 5 cm deep has an orange to red colour, where yellow gives no erosion. Figure 8 shows that there is no erosion at the transition from asphalt to the grass on the berm. Apparently there was not really a difference in roughness between asphalt and the grass. Limited damage, but clearly visible in Figure 8, occurred at the start of the upper slope. This damage/erosion was larger than at the remaining higher part of the upper slope. Similar results were found for the other two tests.

The overall conclusions on erosion are that the transitions from asphalt or block revetment to the grass did not give any erosion, where slight erosion was always found just at the transition from berm to upper slope. But overall the damage was small, sometimes close to “initial damage”, but certainly not more.

ANALYSIS OF RESULTS AND VALIDATION OF HYPOTHESIS

Simulated front velocities during wave run-up simulation. Velocities and flow thicknesses were measured during the session on hydraulic measurements and from the records also the front velocity between pairs of paddle wheels (the device to measure velocities) were derived. The main front velocities are at the transition from asphalt to grass and just before the up-rushing wave arrives at the transition to the upper slope. These two front velocities have been used to calculate the cumulative overload for the two mentioned transitions.

The relationship between run-up level and front velocity of the up-rushing wave was established through the hydraulic measurements described above. With the pre-defined wave run-up distributions it is then possible to develop the distributions of front velocities at the transition from berm to upper slope, see Figure 9.

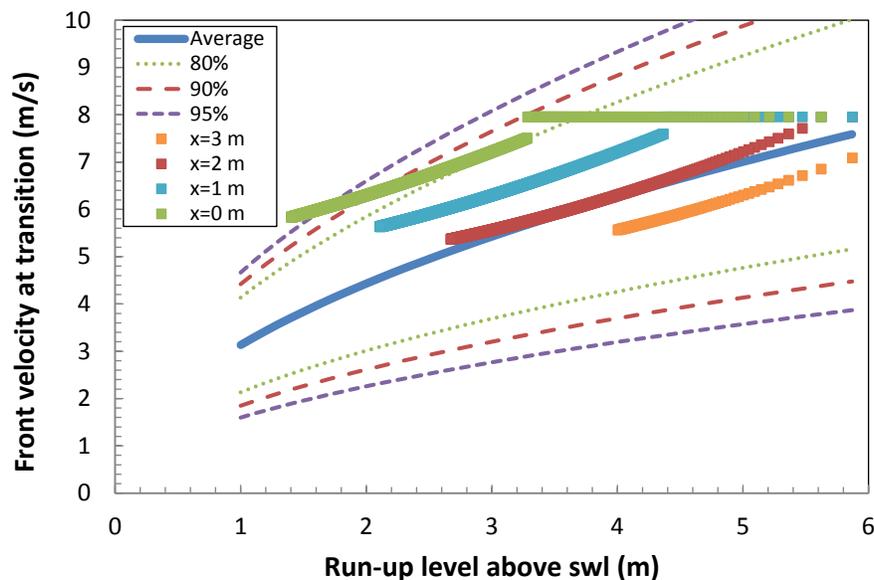


Figure 9. Distribution of front velocities as simulated at the transition from berm to upper slope, compared to the theoretical distribution (x is the vertical distance from simulated water level to the transition).

The theoretical basis of Figure 9 is the expected front velocity, derived from analysis of wave run-up measurements (Van der Meer *et al.*, 2012). There is a large scatter between the reached wave run-up level and the maximum front velocity. The graph gives the average line, but also the 80%, 90% and 95% exceedance lines.

The wave run-up simulator produces the same front velocity, or the same run-up level, for the same filling level. Figure 9 shows that the front velocities that were simulated were always quite high, roughly larger than 5 m/s, which is already the case for filling levels larger than 1 m. The maximum front velocity was 7.95 m/s, just below 8 m/s.

Subtest $x=3$ m, where the simulated water level is 3 m vertical beneath the point of interest, is a little lower than the average curve in Figure 9, where subtest $x=2$ m is almost equal to the average. The more severe subtests with $x=1$ m and 0 m gave front velocities that were higher than the expected average. In that sense it can be concluded that the simulated conditions for the last two subtests were more severe than can be expected in reality.

Cumulative overload by the new tests with the Wave Run-up Simulator. The method of cumulative overload was developed for wave overtopping. The front velocity of the overtopping wave accelerates down the slope and the cumulative overload is then dependent on the location of damage, as well as the steepness of the landward slope. The front velocity for an up-rushing wave does not accelerate. Over about 75% of the run-up height, the front velocity remains almost at its maximum value. Over the last 25% it drops down to zero. The cumulative damage for run-up depends also on the location on the slope. Very often the location of a transition is the most important one. For the present tests this is the transition from asphalt or revetment to grass and from berm to upper slope. From the test results it has become clear that the transition to the upper slope was critical. At this transition the measured erosion was at its maximum, see Figure 8, although there was still not failure of the grass cover.

The cumulative overload at the transition from berm to upper slope is given in Table 1 for each sub-test and for the total test. Critical velocities were 4-8 m/s.

Table 1. Cumulative overload (m^2/s^2) for the transition from berm to upper slope, given $U_c = 4-8$ m/s.

Location	$U_c=4$ m/s	$U_c=5$ m/s	$U_c=6.5$ m/s	$U_c=8$ m/s
Grass-slope	$\alpha_M=1$	$\alpha_M=1$	$\alpha_M=1$	$\alpha_M=1$
Test	$\alpha_S=1$	$\alpha_S=1$	$\alpha_S=1$	$\alpha_S=1$
$x=3$ m	1405	688	17	0
$x=2$ m	8804	4690	302	0
$x=1$ m	33473	20207	2453	0
$x=0$ m	79197	53979	12309	0
Total Cum.	122879	79564	15081	0

Note that the damage at the transition from berm to upper slope was close to, but not exceeding, “initial damage”, which can be described by a damage number of $D = 1000 m^2/s^2$. The results in Table 1 are at first sight a little surprising. Very large

cumulative overloads are found for critical velocities up to 6.5 m/s. And no cumulative overload is found for a critical velocity of 8 m/s. The explanation is that most velocities are between 5-8 m/s, which means that every simulated up-rushing wave will count for a critical velocity of 4 m/s, almost every up-rushing wave for a critical velocity of 5 m/s and a large part of the up-rushing waves for a critical velocity of 6.5 m/s. As the maximum simulated front velocity is 7.95 m/s, the critical velocity of 8 m/s will not lead to any cumulative overload.

As initial damage should be found for $D = 1000 \text{ m}^2/\text{s}^2$ and this level has hardly been reached during the tests, the critical velocity should be close to 8 m/s or even larger.

As there was no extra erosion at the transition from asphalt/revetment to grass at the berm, it can be concluded that α_1 and α_2 are not substantial different from 1. There was, however, a concentration of erosion at the transition to the upper slope, see Figure 8, and therefore it is logical that α_1 will be a little larger than 1. Table 2 gives the cumulative overload of the total test for critical velocities of 7 m/s, 8 m/s and 9 m/s and for various α_1 -values. As the grass quality looked similar at berm and slope α_2 was kept at a value of 1.0.

Table 2. Cumulative overload for the transition from berm to upper slope with various values for α_1 and critical velocities from 7-9 m/s.

		$U_c=7 \text{ m/s}$	$U_c=8 \text{ m/s}$	$U_c=9 \text{ m/s}$
α_1	α_2			
1.00	1.00	6773	0	0
1.05	1.00	9440	869	0
1.10	1.00	12710	2034	0
1.15	1.00	16641	3228	0
1.20	1.00	21285	4658	0
1.30	1.00	32895	8558	428
1.40	1.00	47842	14097	2756
1.50	1.00	66014	21561	5317
1.60	1.00	85969	31203	8844

A cumulative overload value of $869 \text{ m}^2/\text{s}^2$, just below the value of $1000 \text{ m}^2/\text{s}^2$ for initial damage, is found for a critical velocity of 8 m/s and $\alpha_1 = 1.05$. If the critical velocity would be 9 m/s then $\alpha_1 = 1.3-1.4$ would be a good value. The upper slope was quite gentle (about 1:5) and the erosion at the transition was more than at the upper slope itself, but not much more. This all leads to the conclusion that $\alpha_1 = 1.1$ would be a fair estimate with a critical velocity of around 8 m/s.

Cumulative overload by Pilot test in 2012. In 2011 a pilot test was performed at Tholen on run-up simulation, using the wave overtopping simulator, see Van der Meer *et al.* (2012). A similar set-up was used as for the tests described above with four test conditions with increasing (assumed) water level. Initial damage and several open spots occurred quite easily, all on the berm and transition to the upper slope. The grass quality was quite bad in this area. After the test there were three quite deep holes, two at the side walls and one in the middle, see Figure 3. Damage was more than several open spots, but certainly less than failure.

From calibration and hydraulic measurements the flow velocities were known and with these the cumulative overload can be calculated. With $D = 4000 \text{ m}^2/\text{s}^2$ for several open spots and $7000 \text{ m}^2/\text{s}^2$ for failure, the cumulative overload after the test should be between these two values. But the grass at the berm was worse than at the slope and there is clearly a transition to the steep 1:3 upper slope. This means that actually α_1 and α_2 both should be different from 1. Table 3 gives the cumulative overload for $\alpha_2 = 0.9$ and various values for α_1 . For a critical velocity of 6.5 m/s α_1 should be about 1.4, which is a fairly high value. It is more plausible that the critical velocity was around 5.5 m/s or 6 m/s with an $\alpha_1 = 1.1-1.3$. Including the worse grass slope at the berm and the transition to the upper slope, gives a critical velocity of $U_c = 5.5 \text{ m/s}$ to 6 m/s .

A similar conclusion could be drawn from wave overtopping tests at the same location, where damage occurred at a transition.

Table 3. Cumulative overload for the pilot test with $\alpha_2 = 0.9$, various values for α_1 and critical velocities from 5-8 m/s.

α_1	α_2	$U_c=5 \text{ m/s}$	$U_c=6.5 \text{ m/s}$	$U_c=8 \text{ m/s}$
1.00	0.90	6226	944	0
1.05	0.90	7295	1274	33
1.10	0.90	8426	1663	93
1.15	0.90	9833	2114	157
1.20	0.90	11331	2626	237
1.30	0.90	14386	3826	476
1.40	0.90	17512	5254	838
1.50	0.90	20700	6892	1342

CONCLUSIONS ON VALIDATION OF CUMULATIVE OVERLOAD METHOD FOR WAVE RUN-UP

The cumulative overload describes three damage levels, initial damage, several open spots and failure. From the extensive testing with the Wave Overtopping Simulator it is known that failure is the most important damage to establish the critical velocity of a grass slope. The least reliable damage indication is initial damage. Sometimes initial damage occurs quite soon, whilst the slope appears to be very strong, sometimes initial damage occurs just before the slope fails. In order to make a good validation of the method for cumulative overload for wave run-up it is essential that testing shows the final stage of failure. That did not happen for the pilot test at Tholen, but the stage of several open spots was reached and even a little more. The tests with the new Wave Run-up Simulator showed that the grass cover was quite strong. With respect to the grass cover the indication on erosion was that initial damage was close, but had actually not fully been reached. The validation had to be done on this criterion.

Analysis of the cumulative overload for the pilot test at Tholen showed that for wave overtopping as well as wave run-up, a critical velocity of 5.5 m/s to 6 m/s

with $\alpha_2 = 0.9$ and $\alpha_1 = 1.1-1.3$ may apply. As the outcome for the wave overtopping as well as the wave run-up test was similar, these tests validate to some extent the method for cumulative overload for wave run-up. But it was not based on the criterion of failure.

The tests with the new Wave Overtopping Simulator only showed erosion close to initial damage. Based on this criterion only, it was concluded that the critical velocity of the grass slope was $U_c = 8$ m/s, using $\alpha_2 = 1.0$ and $\alpha_1 = 1.05$. The problem is that this critical velocity is only based on no or hardly damage.

The overall conclusion on the pilot test at Tholen and the test with the new Wave Run-up Simulator, is that results show that possibly the method of cumulative overload can also be applied for wave run-up. The analysis did not show contradictions. But the validation is far from conclusive as it could not be based on a damage criterion of failure, but only on no or slight damage.

As it is advantageous to apply a similar method for wave run-up as well as for wave overtopping, a conclusive validation is required. It is therefore recommended to perform again a test series with the Wave Run-up Simulator. With selection of a suitable test location it is necessary to have a steeper transition to the upper slope, a grass cover that is/looks not too strong, and a location that has also objects and/or other transitions. In order to compare directly wave run-up with wave overtopping it is further recommended to do both types of tests on a similar section. To have both types of tests is very useful for a direct comparison, like at the tests at Tholen.

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